

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER:
0512-1004

U.S. Patent No. (and/or, see 35 C.F.R. 1.55)
10/049381

INTERNATIONAL APPLICATION NO.:
PCT/FR00/023212

INTERNATIONAL FILING DATE:
11 AUGUST 2000 (11.08.00)

PRIORITY DATE CLAIMED:
13 AUGUST 1999 (13.08.99)

TITLE OF INVENTION: PHENANTHROLINE-7-ONE DERIVATIVES AND THEIR THERAPEUTIC APPLICATIONS

APPLICANT(S) FOR DO/EO/US: Evelynne DEFOURNE, Francis DARRO, Jean BASTIDE, Robert KISS and Armand FRYDMAN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371:
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
 3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
 4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau --in French language).
 - b. ☐ has been transmitted by the International Bureau. (see attached copy of PCT/IB/308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
 8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Item 11. to 16. below concern document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 13. ☒ A **FIRST** preliminary amendment.
 14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
 15. ☐ A substitute specification.
 16. ☒ A change of power of attorney and/or address letter.
 16. ☒ Other items or information: INTERNATIONAL PRELIMINARY EXAMINATION REPORT (PCT/IPEA/409), INTERNATIONAL SEARCH REPORT (PCT/ISA/210), APPLICATION DATA SHEET, ABSTRACT

U.S. APPLICATION NO. 10/049381		INTERNATIONAL APPLICATION NO. PCT/FR00/02312		ATTORNEY'S DOCKET NO. 0512-1004																																																														
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ 1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). \$ <table border="1"><thead><tr><th>CLAIMS</th><th>NUMBER FILED</th><th>NUMBER EXTRA</th><th>RATE</th><th></th></tr></thead><tbody><tr><td>Total claims</td><td>14 - 20 =</td><td>0</td><td>X \$18.00</td><td>\$</td></tr><tr><td>Independent claims</td><td>6 - 3 =</td><td>3</td><td>X \$84.00</td><td>\$ 252.00</td></tr><tr><td colspan="3">MULTIPLE DEPENDENT CLAIMS(S) (if applicable)</td><td>+ \$280.00</td><td>\$</td></tr><tr><td colspan="4">TOTAL OF ABOVE CALCULATIONS =</td><td>\$ 1142.00</td></tr><tr><td colspan="4">Reduction of 1/2 for filing by small entity, if applicable. Applicant claims Small Entity Status under 37 CFR 1.27.</td><td>\$</td></tr><tr><td colspan="4">SUBTOTAL =</td><td>\$ 1142.00</td></tr><tr><td colspan="4">Processing fee of \$130 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).</td><td>\$</td></tr><tr><td colspan="4">TOTAL NATIONAL FEE =</td><td>\$ 1142.00</td></tr><tr><td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td><td>\$ 40.00</td></tr><tr><td colspan="4">TOTAL FEES ENCLOSED =</td><td>\$ 1182.00</td></tr><tr><td colspan="4" rowspan="2"></td><td>Amount to be refunded:</td></tr><tr><td>charged:</td></tr></tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	14 - 20 =	0	X \$18.00	\$	Independent claims	6 - 3 =	3	X \$84.00	\$ 252.00	MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$280.00	\$	TOTAL OF ABOVE CALCULATIONS =				\$ 1142.00	Reduction of 1/2 for filing by small entity, if applicable. Applicant claims Small Entity Status under 37 CFR 1.27.				\$	SUBTOTAL =				\$ 1142.00	Processing fee of \$130 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	TOTAL NATIONAL FEE =				\$ 1142.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	TOTAL FEES ENCLOSED =				\$ 1182.00					Amount to be refunded:	charged:	CALCULATIONS PTO USE ONLY	
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c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required by 37 CFR 1.16 and 1.17, or credit any overpayment to Deposit Account No. 25-0120 . A duplicate copy of this sheet is enclosed.																																																																		
SEND ALL CORRESPONDENCE TO: YOUNG & THOMPSON 745 South 23rd Street 2nd Floor Arlington, VA 22202 (703) 521-2297 facsimile (703) 685-0573 Customer Number: 000466		February 12, 2002		By <u>Benoit Castel</u> Benoit Castel Attorney for Applicant Registration No. 35,041																																																														